

ICMA

FMFC Exam

ICMA Financial Markets Foundation Exam

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Version: 8.0	
Question: 1	
Which of the following is not one of the so-called 3 Pillars of the European	n Basel regulations?
A. Liquidity and capital requirementsB. Risk management proceduresC. Supervisory reviewsD. Risk analysis and market discipline	
	Answer: B
Question: 2	
Which of the equity exchanges operates a quote-driven model?	
A. NYSE B. NASDAQ C. LSE	
D. Euronext	
	Answer: B
Question: 3	
One of the most contentious parts of the US Dodd-Franks Act is the r carrying trading positions. What is the name of the rule that deals with the	
A. Bernanke Rule B. Greenspan Rule C. Yellan Rule	
D. VolckerRule	
	Answer: D
Question: 4	

An EUR-denominated Eurobond matures on 15 January 2017 and pays an annual coupon of 5% on an act/act basis. The bond is trading at a yield to maturity of 6% for settlement on 10 March 2015. Which of the following ststements is true of its clean price?

- A. Its price will be above 100
- B. Its price will be below 100
- C. Its price will be 100

D. Need more information

Answer: B

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